SUBSTITUTE FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

28955.4028

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 4

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

26 September 2003 10 January 2003 PCT/JP2003/012322 TITLE OF INVENTION NITROGENOUS HETEROCYCLIC DERIVATIVE AND ORGANIC ELECTROLUMINESCENT ELEMENT EMPLOYING THE SAME APPLICANT(S) FOR DO/EO/US Hiroshi YAMAMOTO, Masahide MATSUURA, Hidetsugu IKEDA, Mineyuki KUBOTA and Masahiro KAWAMURA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include  $\boxtimes$ items (5), (6), (9) and (21) indicated below. ∑ The US has been elected (Article 31). ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a.  $\square$  is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). ☐ have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10; 
An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. 

Other items or information: Cover sheet of Int'l Publn. WO 2004/063159 **PCT Request** Forms PCT/IB/304 and 308

Notice Of Change Of Correspondence Address

International Search Report

JC14 Rec'd PCT/PTO 0 8 JUL 2005

U.S. Application No. (if known, see 37 CFR 1.5)  10/54.1745  INTERNATIONAL APPLICATION NO. PCT/JP2003/012322							ATTORNEY'S DOCKET NUMBER 28955.4028			
The following fees have been submitted:								cant use	Office use only	
21. Sasic national fee							\$	300		
22. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)								200		
All other situations \$200  23. Search fee  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400  All other situations \$500								500		
. TOTAL OF 21, 22 and 23 =								1000	<u> </u>	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or fraction RATE										
159 - 100 =		59 /50 =	thered	of (round up to a whole number 2	ber)	× \$250	-   s	500		
Surcharge of \$13	Surcharge of \$130.00 for furnishing the oat			h or declaration later than 30 months from the earliest			\$   \$	500		
claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE										
Total claims					x \$		\$		1	
Independent clai	Independent claims		=	1	× \$:	200	\$	200		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360										
TOTAL ABOVE CALCULATIONS =								700		
Applicant claims small entity status. See 37 CFR 1.27. The fees indi cated above are reduced by 1/2.										
SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest							\$	1700		
claimed priority date (37 CFR 1.492(f)). +  TOTAL NATIONAL FEE =							\$	\$ \$ 1700		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 3.28, 3.31). \$40.00 per property								1700		
TOTAL FEES ENCLOSED =								\$ \$ 1700		
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								int to be	\$	
a. A check in the amount of \$ 1700 to cover the above fees is enclosed.								jed:	<u>L</u>	
b. Please charge my Deposit Account No. 19-4293 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>19-4293</b> . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO 2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFF/1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORR	SEND ALL CORRESPONDENCE TO:								4	
USPTO CUSTOMER NO. 27890 Signature									-	
STEPTOE & JOH Attn. USPTO Doc 1330 Connecticut		Roger W. Parkhurst								
Washington, DC 20036  Tel. 202 429 3000 25,177										
Tel. 202.429.3000 Fax 202.429.3902					ration No.			<del></del>		

# JC14 Rec'd PCT/PTO 08 JUL 2005

ATTY. DKT. 28955.4028

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hiroshi YAMAMOTO et al

Serial No. (new - based on PCT/JP2003/012322)

Int'l Filing Date: September 26, 2003

For: NITROGENOUS HETEROCYCLIC DERIVATIVE AND ORGANIC

ELECTROLUMINESCENT ELEMENT EMPLOYING THE SAME

### NOTICE OF CHANGE OF CORRESPONDENCE ADDRESS

US Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, Virginia 22314

Sir:

Please forward all future correspondence in connection with the above-identified application to the undersigned attorney of record at the following new address:

#### **CUSTOMER NUMBER 27890**

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Respectfully submitted,

Roger W. Parkhurst

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